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Attorney Docket No.: C3630

09/402185 PATENTS

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**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)**

International Application No.: PCT/EP98/00613
International Filing Date: 3 April 1998
Priority Date Claimed: 4 April 1997
Title of Invention: METHOD AND APPARATUS FOR FRICTION STIR WELDING
Applicant(s) for DO/EO/US:

Applicant herewith submits to the United States Designed/Elected Office (DO/EO/US) the following items under 35 U.S.C. 371:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to immediately begin national examination procedures (35 U.S.C. 371(I)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office(RO/US).
6. ☐ A translation of the International Application into English.
7. ☒ Amendments to the claims of the International Application under PCT Article 19
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19(35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ will follow.
10. ☐ A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35. U.S.C. 371(c)(5)).
11. ☒ Copy of the ☒ International Preliminary Examination Report and/or the ☒ International Search Report.
12. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
13. ☒ An Assignment document for recording with a separate cover sheet in compliance with 37 CFR 3.28 and 3.31
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ will follow.
14. ☒ A **FIRST** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.

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17. ☐ Verified Small Entity Declaration.

- a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 b. ☐ has been transmitted by the International Bureau.
 c. ☐ will follow.

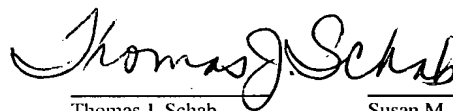
18. ☒ Other items of information: Schedule A of Inventors19. ☒ (1) sheet of drawing is enclosed. (figure 1)20. ☒ The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows:

BASIC NATIONAL FEE (37 CFR 1.492 (a)(1)-(5)):			
<input type="checkbox"/>	Search Report has been prepared by the EPO or JPO = \$840		
<input type="checkbox"/>	International preliminary examination fee paid to USPTO (37 CFR 1.482) = \$700		
<input type="checkbox"/>	No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) = \$760		
<input checked="" type="checkbox"/>	Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO = \$970		
<input type="checkbox"/>	International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) = \$96		\$ 970.00
<input type="checkbox"/>	Surcharge of \$130 for furnishing the oath or declaration later than the <u>20</u> <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(c)).		TOTAL FEE FOR LATE FILING OF OATH/DECLARATION \$
NUMBER OF INDEPENDENT CLAIMS	CLAIMS OVER 3	RATE	TOTAL FEES FOR INDEPENDENT CLAIMS OVER 3
2 - 3 =	0	X \$78 =	\$
MULTIPLE DEPENDENT CLAIMS(S) PRESENT	RATE		FEE FOR MULTIPLE DEPENDENT CLAIM(S)
	\$260 PER APPLN.		\$
TOTAL NUMBER OF CLAIMS	CLAIMS OVER 20	RATE	TOTAL FEES FOR CLAIMS OVER 20
12 - 20 =	0	X \$18 =	\$ 0
TOTAL OF ABOVE CALCULATIONS			\$
Reduction by 1/2 for filing by small entity			\$
SUBTOTAL			\$ 970.00
ASSIGNMENT RECORDAL SHEET			\$
Processing fee of \$130 for furnishing the English translation later than the <u>20</u> <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$ 0
TOTAL FEES ENCLOSED			\$ 970.00

a. ☒ A check in the amount of \$ 970.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. 04-2219 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, including request for extension and payment of extension fees due, when this is not explicitly requested by applicants, with a view toward avoidance of abandonment, to Deposit Account No. 04-2219, referencing our docket # C36305. Any over-payment should be credited to this account.

Please direct all communications in connection with this application to the undersigned at:

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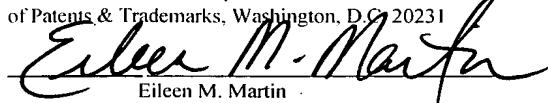
EXPRESS MAIL CERTIFICATION

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"Express" Mail label number: **EL439221397**
Date of Deposit: **September 28, 1999**

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I hereby certify that this transmittal letter and the papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated at (A) above and are addressed to the Commissioner of Patents & Trademarks, Washington, D.C. 20231


Eileen M. Martin

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